

Legal Entity self-certification form

(not for use by natural persons)

Instructions for completion

International tax standards require AMDAX B.V. (**Amdax**) to collect and report tax related information about its clients and Controlling Persons. Please visit the website of the OECD for more information [Tax Transparency Resource Centre](#).

As a crypto-asset service provider, we are not allowed to provide tax advice. If you have any questions about how to complete this form or how to determine your tax residency or status, please contact your tax advisor.

Definitions can be found in the Glossary on the last page.

1 Identification of Legal Entity

Full legal name

Country of incorporation

Residence address* (street and #)

Zip/Post code

City

Country

CoC number

Mailing address (if different than residence)

Street and #

Zip/Post code

City

Country

* Do not use a P.O. Box (unless this is your registered address) or an "in case of" Address.

2 Tax Residence and TIN

Important

Your Legal Entity is always a tax resident in at least one country, with the possibility of being a tax resident in multiple countries. Please contact us if you have more than three countries of tax residence or have more than four controlling persons (UBO's), as this information is to be supplied.

Please complete the following table indicating (i) where your Legal Entity is a Tax Resident and (ii) the Tax Identification Number (**TIN**) for each jurisdiction indicated.

If a TIN is unavailable, please provide the appropriate reason A, B or C where applicable.

Reason A: The country in which the Account Holder is a tax resident does not issue TINs to its residents.

Reason B: The Account Holder is otherwise unable to obtain a TIN or equivalent number.

Reason C: No TIN is required (Note: only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction).

	Country of tax residence	TIN	If no TIN available enter reason A, B, or C
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>

Each jurisdiction has its own version of the TIN, e.g. RSIN (NL), UTR (UK), Steuernummer (DE), SIREN (FR), NIP (PL) or NIF (ES). VAT number is usually not accepted as a TIN. If you want to know the right TIN for your tax residence, please visit <https://www.oecd.org/en/networks/global-forum-tax-transparency/resources/aeoi-implementation-portal.html>

Please explain why you are unable to obtain a TIN if you selected Reason B above:

1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>

3 Information on Controlling Persons (UBO'S)

Please provide the required information on your entity's Controlling Person(s). Amdax may be legally bound to report the data of Controlling Persons to the local tax authorities. The local tax authorities will report this information to the tax authorities of the participating countries where the Controlling Person(s) is/are tax resident.

Controlling person 1

First/Given and Middle Name(s):

Family Name(s)/Surname(s):

Date of Birth (DD-MM-YYYY):

City/Town of birth:

Country of Birth:

Current residence address

City

ZIP/Post code

Country

	Country of tax residence	TIN	If no TIN available enter reason A, B, or C
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>

Controlling Person Type

Legal Entity, Excluding Trust

Ownership 25% 50% 75% 100%

Control

Senior Managing Official

Legal arrangement or trust

Settlor

Trustee

Protector

Beneficiary

Other

Controlling person 2

First/Given and Middle Name(s):

Family Name(s)/Surname(s):

Date of Birth (DD-MM-YYYY):

City/Town of birth:

Country of Birth:

Current residence address

City

ZIP/Post code

Country

Country of tax residence

1

TIN

2

3

If no TIN available enter reason A, B, or C

Controlling Person Type

Legal Entity, Excluding Trust

Ownership 25% 50% 75% 100%

Control

Senior Managing Official

Legal arrangement or trust

Settlor

Trustee

Protector

Beneficiary

Other

Controlling person 3

First/Given and Middle Name(s):

Family Name(s)/Surname(s):

Date of Birth (DD-MM-YYYY):

City/Town of birth:

Country of Birth:

Current residence address

City

ZIP/Post code

Country

Country of tax residence

1

TIN

2

3

If no TIN available enter reason A, B, or C

Controlling Person Type

Legal Entity, Excluding Trust

Ownership

25%

50%

75%

100%

Control

Senior Managing Official

Legal arrangement or trust

Settlor

Trustee

Protector

Beneficiary

Other

Controlling person 4

First/Given and Middle Name(s):

Family Name(s)/Surname(s):

Date of Birth (DD-MM-YYYY):

City/Town of birth:

Country of Birth:

Current residence address

City

ZIP/Post code

Country

	Country of tax residence	TIN	If no TIN available enter reason A, B, or C
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>

Controlling Person Type

Legal Entity, Excluding Trust

Ownership 25% 50% 75% 100%

Control

Senior Managing Official

Legal arrangement or trust

Settlor

Trustee

Protector

Beneficiary

Other

4 Declaration and Signature

As a representative authorized to sign on behalf of the entity:

I undertake to provide Amdax with true and accurate information, and to notify Amdax of any change in circumstances that may cause any information included on this form to become incorrect by providing a true and accurately updated form within 30 days of such change.

I declare that, if applicable, I have obtained permission from the Controlling Persons mentioned in part 3 to disclose information related to them for the purpose of it being reported to the tax authorities.

I acknowledge that the information contained in this form and information regarding the Legal Entity and/or Controlling Person(s) will be reported to the tax authorities of the country/jurisdiction in which the crypto-asset service provider is residing and exchanged with tax authorities of another country/jurisdiction or countries/ jurisdictions in which the Legal Entity or Controlling Person(s) may be tax resident pursuant to intergovernmental/ multilateral agreements to exchange information.

I declare that I have examined the information on this form and to the best of my knowledge and believe it is true, correct, and complete.

Authorized representative 1

Date

Full Legal Name

Date of birth

Signature

Authorized representative 2

Date

Full Legal Name

Date of birth

Signature

Authorized representative 3

Date

Full Legal Name

Date of birth

Signature

Authorized representative 4

Date

Full Legal Name

Date of birth

Signature

Glossary

Legal Entity

The term “Legal Entity” means a legal person or a legal arrangement, such as a corporation, partnership, trust or foundation. This term covers any person other than an individual (i.e. a natural person).

Controlling Persons

“Controlling Persons” are the natural person(s) who exercise control over a Legal Entity. This definition corresponds to the term “beneficial owner” described in Recommendation 10 and the Interpretative Note on Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012). In the case of a trust, the Controlling Person(s) are the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, or any other natural person(s) exercising ultimate effective control over the trust (including through a chain of control or ownership). In the case of a legal arrangement other than a trust, “Controlling Person(s) means persons in equivalent or similar positions.

Tax resident

Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine whether a Legal Entity is tax resident in the jurisdiction on the OECD automatic exchange of information portal. Generally, a Legal Entity will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including tax conventions), it pays or should be paying tax therein by reason of his domicile, residence, place of management or incorporation, or any other criterion of a similar nature, and not only from sources in that jurisdiction. Dual resident Legal Entities may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for determining their residence for tax purposes. A Legal Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated.

For additional information on tax residence, please talk to your tax adviser or see the OECD website for more information on tax residency <https://www.oecd.org/en/networks/global-forum-tax-transparency/resources/aeoi-implementation-portal/tax-residency.html>.

TIN (or functional equivalent)

The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or a Legal Entity and used to identify the individual or Legal Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the website of the OECD <https://www.oecd.org/en/networks/global-forum-tax-transparency/resources/aeoi-implementation-portal/tax-identification-numbers.html>.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilize some other high integrity number with an equivalent level of identification (a “functional equivalent”). Examples of that type of number include, for Legal Entities, a Business/company registration code/number.

Glossary

Authorized representative

An authorized representative is someone who is authorized to legally represent the organization. At Amdax, this person must be registered with the Chamber of Commerce as an involved person (e.g., as a director or partner). An authorized representative could be the same person as the UBO but could also only qualify as an authorized representative.